

## The Role of Surgery in GIST Treatment Neoadjuvant and Adjuvant Therapy

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## **Surgical Resection May Be Curative...**









# Goals of Resection in GIST > 2 cm

- Total gross resection without tumor rupture (including disruption of tumor capsule)
- Negative microscopic margins (R0)
- Lymphadenectomy is not generally indicated because LN metastases are uncommon outside SDH-def GIST and gene fusion GIST



## **Complete Resection**

### Not Always Possible



Fanta, Sicklick, et al. JCO, March 2014.

## **Factors to Consider**

		Location & Anatomy		
		Good	Bad	
Biology	Good	Good Location Good Biology	Bad Location Good Biology	
	Bad	Good Location Bad Biology	Bad Location Bad Biology	

# **Neoadjuvant Therapy?**

# **Definitions**

#### Operation



OPEN PROCEDURE



LAPAROSCOPIC PROCEDURE

Adjuvant Therapy

## **NCCN & ESMO Recommendations**

## Neoadjuvant Treatment

- 1. Marginally resectable disease (i.e., locally advanced or large tumors) where total gross resection may not be feasible
- 2. Likely positive margins
- 3. Potential for adjacent organ sparing
- 4. Opportunity for less extensive operation
- 5. Potential for safer operation (e.g., less bleeding or lower risk of tumor rupture)

# **Studies to Support Safety and Efficacy**

Trial (phase)	Imatinib dosage and duration	Patients	Outcomes	Safety
RTOG S032/ ACRIN 6665 <sup>49</sup> Phase II, nonrandomized, prospective trial	Neoadjuvant: 600 mg/d for 8–12 wk Adjuvant: 400 mg/d for 2 yrs Follow-up: 3 yr	<ul> <li>N = 63</li> <li>(52 analyzable):</li> <li>30 with primary GIST;</li> <li>22 with recurrent/ metastatic</li> </ul>	Primary GIST: 7% PR; 83% SD; 10% unknown Recurrent GIST: 4.5% PR; 91% SD; 4.5% PD 2-yr PFS: 83% for primary; 77% for recurrent 2-yr OS: 93% for primary; 91% for recurrent	Post-operative toxicities: 29% Gr 3; 16% Gr 4; 4% Gr 5
BFR14 substudy <sup>59</sup> Phase III, BFR14 database sub-analysis (retrospective)	Median treatment duration prior to surgery: 7.3 mo	N = 25 (9 patients underwent resection) locally advanced GIST without metastases	Median PFS: not reached for resected vs 29.4 mos for non-resected Median OS: Median not reached for resected vs 42.2* months for non-resected	NA

## "Bad" Location

#### Gastroesophageal junction

Avoid total gastrectomy

- Tielen R, Verhoef C, van Coevorden F, Gelderblom H, Sleijfer S, Hartgrink HH, Bonenkamp JJ, van der Graaf WT, de Wilt JH. Surgical treatment of locally advanced, non-metastatic, gastrointestinalstromal tumours after treatment with imatinib. Eur J Surg Oncol 2013;39:150-155.
- Doyon C, Sidéris L, Leblanc G, Leclerc YE, Boudreau D, Dubé P. Prolonged therapy with imatinib mesylate before surgery for advanced gastrointestinal stromal tumor results of a phase II trial. Int J Surg Oncol 2012;2012:761576.
- Koontz MZ, Visser BM, Kunz PL. Neoadjuvant imatinib for borderline resectable GIST. J Natl Compr Canc Netw 2012;10:1477-1482.



## "Bad" Location

#### Gastroesophageal junction

Avoid total gastrectomy

#### • Duodenum

 Avoid Whipple(s) operation (pancreaticoduodenectomy)



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## "Bad" Location

#### Gastroesophageal junction

- Avoid total gastrectomy
- Duodenum
  - Avoid Whipple(s) operation (pancreaticoduodenectomy)
- Rectum
  - Avoid Low Anterior Resection (LAR)
  - Avoid Abdominoperineal Resection (APR)
    - Tielen R, Verhoef C, van Coevorden F, Gelderblom H, Sleijfer S, Hartgrink HH, Bonenkamp JJ, van der Graaf WT, de Wilt JH. Surgical treatment of locally advanced, non-metastatic, gastrointestinalstromal tumours after treatment with imatinib. Eur J Surg Oncol 2013;39:150-155.
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## **Bad Location + Bad Biology**



Fanta, Sicklick, et al. JCO. 2015.

#### **Pre-imatinib**





#### 2.5 months





#### 4 months





## **Biology Beats Technique**

## If Tumors Respond...Patients Do Better.



DeMatteo, et al.. Annals of Surgery. 2007.

# **Neoadjuvant Therapy Summary**

- Neoadjuvant imatinib therapy is generally safe for patients with GIST, but bleeding with response may occur.
- Treatment is usually recommended for 6-9 months to achieve maximal response.
- Treatment may be stopped earlier if additional response will not change conduct or safety of the operation.
- Imatinib may be stopped immediately before an operation and may be restarted once the patient has recovered.
- Tumor mutation analysis may help exclude patients with imatinibresistant mutations (e.g., *PDGFRA* D842V) from consideration for neoadjuvant imatinib therapy
- Currently lacking established safety data for neoadjuvant avapritinib for PDGFRA D842V mutant GIST

# **Risk of Recurrence (ROR)**

- Resection is the primary treatment for localized GIST
- However, it is *not* routinely curative despite complete gross resection
  - >50% patients will develop recurrence or metastasis
  - 5-year OS rate is ~50%<sup>1,2</sup>



NCCN Clinical Practice Guidelines in Oncology. Soft Tissue Sarcoma. V3.2012
 DeMatteo RP, et al. Ann. Surg. 2000;231(1):51-58.

## **ROR Depends on 4 Prognostic Factors**

Assessment Methodology	Mitotic Rate	Tumor Size	Tumor Site	Tumor Rupture	Peritoneal Dissemination	Mucosal Invasion	Mutational Status
Fletcher, et al, 2002 (NIH Guidelines) <sup>1</sup>	•	•					
Huang, et al, 2007 <sup>2</sup>	•	•					
Miettinen, et al, 2006 <sup>3</sup>	•	•	•				
Mucciarini, et al, 2007 <sup>4</sup>	٠	•	•				
Hassan, et al, 2008⁵	٠	•	•				
DeMatteo, et al, 2008 <sup>6</sup>	•	•	•				
Joensuu, 2008 (Modified NIH) <sup>7</sup>	۲	•	•	•			
Gold, et al, 2009 <sup>8</sup>	•	•	•				
Takahashi, et al, 2007 <sup>9</sup>		•	•				
Singer, et al, 2002 <sup>10</sup>							
Edge, et al, 2010 <sup>11</sup>		•	•				
Joensuu, et al, 2012 <sup>12</sup>		•	•				

## **Modified NIH Criteria (Joensuu)**

Risk category	Tumor size (cm)	Mitotic index (per 50 HPFs)	Primary tumor site
Very low risk	<2.0	≤5	Any
Low risk	2.1-5.0	≤5	Any
Intermediate risk	2.1-5.0	>5	Gastric
	<5.0	6-10	Any
	5.1-10.0	≤5	Gastric
High risk	Any	Any	Tumor rupture
•	>10 cm	Any	Any
	Any	>10	Any
	>5.0	>5	Any
	2.1-5.0	>5	Nongastric
	5.1-10.0	≤5	Nongastric

#### **Mitotic Index (MI)**

Mitoses per 50 hpf (old microscopes) Mitoses per 20 hpf (new microscopes) Mitoses per 5 mm<sup>2</sup> (standardized)

#### *Tumor rupture is a poor prognostic factor*

Joensuu H. Hum Pathol. 2008.

# Definitions

#### Operation







LAPAROSCOPIC PROCEDURE

#### Adjuvant Therapy

# Adjuvant Imatinib Therapy

#### • Total trials = 12

- Pilot/retrospective studies (N=2)
- Phase II (N=7)
- Phase III (N=3)

## Duration of therapy

- Duration  $\leq$  1 year (N=5)
- Duration  $\geq$  1 year (N=7)

## **2 Pivotal Trials**

# Phase III randomized, double-blind, placebo-controlled ACOSOG Z9001

90

- Multicenter
- 713 patients with KIT-positive GIST of ≥3 cm in size
- Treated with imatinib (359) or placebo (354) for 1 year
- Estimated 1-year RFS rate was significantly higher in the imatinib arm (98%) compared with the placebo arm (83%; [HR], 0.35; P < 0.0001) >> early termination
- No difference in OS at 4 years of follow-up.
- FDA Approval in 2009 for adjuvant imatinib

80 20 Total Events 359 30 Imatinib 10 70 Placebo 354 HR 0.35 (95% Cl 0.22–0.53); p<0.0001 12 18 24 30 36 48 Time (months) Number at risk 188 89 Placebo 354 34 33 Imatinib 359 207 105

> DeMatteo et al., *Lancet*, 2009. Corless et al., *JCO*, 2010.

# **Adjuvant Imatinib for High ROR**

- Phase III RCT
- Scandinavian Sarcoma Group (SSG) XVIII/AIO
- Patients with high risk GIST (modified NIH):

 Rule of 10s

 >10 cm

 MI >10

 >5 cm + MI >5

 Tumor rupture





<u>5-year OS</u> 92% (3 yr) 81.7% (1 yr)

Adjuvant Imatinib for 3 years is now the gold standard for high-risk GIST

# **Optimal Duration of Adjuvant Therapy?**

Risk category	Tumor size (cm)	Mitotic index (per 50 HPFs)	Primary tumor site
Very low risk	<2.0	≤5	Any
Low risk	2.1-5.0	≤5	Any
Intermediate risk	2.1-5.0	>5	Gastric
	<5.0	6-10	Any
	5.1-10.0	≤5	Gastric
High risk	Any	Any	Tumor rupture
	>10 cm	Any	Any
	Any	>10	Any
	>5.0	>5	Any
	2.1-5.0	>5	Nongastric
	5.1-10.0	≤5	Nongastric



Recurrences begin at 8 months after stopping therapy.... Many experts will recommend indefinite imatinib or until recurrence/intolerance

Joensuu et al., JAMA, 2012

# **Adjuvant Therapy Summary**

- Assessing an individual patient's risk for GIST recurrence is essential, as GIST may recur despite complete gross resection
- Risk assessment is complex, with 4 factors reported to be predictive of recurrence
- If a patient is recommended for adjuvant imatinib therapy, mutation profiling should be performed as only *KIT* and select *PDGFRA* mutations are imatinib sensitivity
- Adjuvant imatinib for <u>></u>3 years is the gold standard for high-risk GIST (modified NIH Criteria) with consideration of 5 years (or even lifelong) therapy, although one may consider tailoring this to intermediate risk patients in select cases





#### RANKED #1 IN SAN DIEGO AND #20 IN THE NATION FOR CANCER CARE

UC San Diego Health



# **THANK YOU!**

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